**CFO PRIVATE EQUITY**

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# Results-oriented private equity professional with the reputation of a goal-oriented visionary and effective accounting and finance leader. Hands-on experience with day-to-day management across all financial operating areas. Resolution of operational and financial challenges in extreme business cycles with a strong sense of urgency. Core competencies include:

Financial Reporting & Analysis Infrastructure Development

Monitoring Investment Performance Internal Controls

RIA Compliance Operations Treasury & Cash Flow Management

Investor Relations Tax Planning & Compliance

### PROFESSIONAL EXPERIENCE

**Top Tier Private Equity Group** New York, NY

*Chief Financial Officer*XXXX-Present

Build out of operational infrastructure as a management committee member for a private investment company that manages a portfolio of direct investments, sponsored funds, and outside investor capital.

* Monitored and designed a system to evaluate each portfolio manager’s direct investments since inception.
* Negotiated Operating Agreements terms including ongoing reporting with outside investors that meets the significant requirements of a Registered Investment Adviser.
* Tax planning and structuring including oversight of tax compliance process and implementation of management allocation tax strategy across a management company and partnerships.
* Implementation of waterfall models that generate book, tax and cash allocations.
* Development of operating company’s P&L and related budget.
* Direct and lead daily operations including investor reporting, investment performance analytics and monitoring, banking and administrator relationships and the human resources function.

**Top Tier Private Equity Group** New York, NY

*Chief Financial Officer* XXXX-XXXX

Hands-on management of all aspects of financial, treasury and tax operations for a private equity fund with over $X.X billion in assets under management.

* Drove process transformation to ensure the effective day-to-day maintenance and internal controls around fund-of-funds, equity, mezzanine and separate account investment and management company accounting including updates to proprietary models for calculations of financial and tax allocations.
* Assessed and addressed key operational challenges and redesigned goals including solely creating and executing the design of financial and tax services.
* Exceeded business objectives required to service AUM growth of $X.X billion and a XXx increase in the number of investors.
* Monitored investment performance including valuation and compilation of quarterly reports for investors and direct coordination of independent annual audits.
* Developed, steered and directed internal control and compliance processes resulting in consecutive top (1) ratings by internal audit.
* Created strategies to formulate specialized knowledge to manage the impact of emerging financial, tax and regulatory developments.
* Operated a best practices compliance function that successfully closed open points during an SEC full Registered Investment Advisor audit.
* Built and rolled out an in-house tax function saving over half a million dollars annually.
* Led financial performance and related operating discussions to effectively communicate with U.S., non-U.S. and prospective investors including addressing and resolving questions in interpreting the financial and tax performance of investments.
* Consistent on-the-spot resolution of challenges expressed by the independent C-suite during semi-annual board and audit committee presentations.
* Instrumental in marketing process for fund launches and subsequent multiple closings including presenting at diligence meetings with prospective investors.
* Engineered channels of communication with internal and external legal counsel to ensure best drafting of terms including investment and investor legal documentation as well as multifaceted partnership agreements.
* Formulated, implemented and monitored financial projections for assets, revenue and expenses.
* Renegotiated existing contracts with professional service providers resulting in completion of XX% in additional services without additional costs incurred.
* Led internal cost leadership including charge back of expenses to identified sources resulting in close to one million dollars saved.
* Negotiated the elimination of redundant reporting saving hundreds of hours per month.

**Top 4 Accounting Firm**  New York, NY

## *Senior Manager* XXXX-XXXX

Full-time sole engagement manager for a top investment banking client managing over $X.X million in annual service revenues.

* Coordinated with external legal counsel and the transaction services team to identify tax implications of new structures and investment activities.
* Analyzed partnership agreements and private placement memorandums to identify structural, federal, state and local tax implications for private equity partnerships.
* Led, organized and created presentations to clients to detail aspects of investment taxation.
* Reviewed several dozen federal, state and local partnership tax returns.
* Co-authored, “This Snazzy Paper” for Journal of ABC Investments.
* Acted as liaison between firm’s specialty groups to develop and market an expanding array of tax and technology products.
* Restructured tax engagement team resulting in a XXX% increase in annual revenue.
* Assessed staff training needs and acted as instructor at annual staff training sessions.

## Top 4 Accounting Firm New York, NY

## *Senior Associate* XXXX-XXXX

* Supervised engagements for clients in the hedge fund and media and entertainment industries.
* Employed tax technical skills to research diverse tax issues.
* Reviewed and prepared tax returns and research memorandum for partnership, corporate, individual, trust and estate clients.
* Consulted individual clients on various facets of tax planning.

### EDUCATION & CERTIFICATION

## ABC University (Summa Cum Laude) New York, NY

*Master of Science in Taxation* XXXX

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## University of XYZ (Magna Cum Laude) New York, NY

*Bachelor of Science in Accounting* XXXX

**Certified Public Accountant** (New York)